

2016

# INSIDER TRADING: OVERVIEW & CASE STUDIES

10<sup>th</sup> November / 14<sup>th</sup> December

Insider trading is the buying or selling of securities based upon access to confidential or proprietary information, which is not available to the general public. An insider is one who is restricted from some kind of trading in a company's stock because he/she has access to privileged information. Further the definition of an insider, the requirement pertaining to the manner the information must be obtained and the enforcement of the law are amongst a number of issues that Malaysia has to address if a more competitive capital market is to be created. Lately, there have been many instances of the Securities Commission charging directors (and others) for insider trading.

## COURSE INTRODUCTION

Insider trading is the buying or selling of securities based upon access to confidential or proprietary information, which is not available to the general public. An insider is one who is restricted from some kind of trading in a company's stock because he/she has access to privileged information. Further the definition of an insider, the requirement pertaining to the manner the information must be obtained and the enforcement of the law are amongst a number of issues that Malaysia has to address if a more competitive capital market is to be created. Lately, there have been many instances of the Securities Commission charging directors (and others) for insider trading.

## COURSE OBJECTIVES

- To provide an over view understanding and appreciation of insider trading, and
- To appreciate what constitutes insider trading so that directors do not unwittingly end up being charged for insider trading.

## COURSE COVERAGE

- What is Insider Trading?
- Position of Insider Trading Under Malaysian Law
- Position of Insider Trading Under Islamic Law
- The Legal Definition of Insider Trading
- Some Local Cases of Insider Trading Charges by the Securities Commission
- Case Studies

## METHODOLOGY OF DELIVERY

Lectures facilitated by PowerPoint presentation and case studies discussion.

## WHO SHOULD ATTEND

Company Directors / Financial Controllers & Finance Managers / Accountants & Auditors  
Company Secretaries / Academicians / Fund & Investment Managers

## ADMINISTRATIVE DETAILS

DATE	:	10 <sup>th</sup> Nov / 14 <sup>th</sup> Dec 2016
DURATION	:	Half-day(0900 – 1300)
VENUE	:	To be advised
FEE	:	RM880.00 per participant (Fee is exclusive of 6% GST)
PAYMENT	:	Cheque is to be made payable to <a href="#">BURSATRA SDN. BHD.</a>

**4 CEP HOURS**

## PRESENTER PROFILE

### **MR. DEVANESAN EVANSON**

Mr. Devanesan Evanson, who holds a LLB (Hons), is a fellow member of the Association of Chartered Certified Accountants (FCCA) and past president of the Malaysian Advisory Committee of ACCA. He is also a member of the MIA and a past council member of MIA. He is a Governor with IIA Malaysia and a past president.

He was with Bursa Malaysia for 18 years prior to his retirement in 2010. During this tenure, he was head of Internal Audit and Risk Management and Chief Regulatory Officer and Chief Market Operations Officer. Subsequent to his retirement, he was a Director at EY Advisory Services for 1.5 years.

Devanesan is currently a free-lance trainer in the areas of Risk, Controls, Governance, Internal Audit and Listing Requirements.

## REGISTRATION FORM

# INSIDER TRADING: OVERVIEW & CASE STUDIES

(0900 – 1300)

➤ Please tick respective date:

10<sup>th</sup> November

14<sup>th</sup> December 2016

➤ Details:

Name of Participant : \_\_\_\_\_

Designation : \_\_\_\_\_

NRIC / Passport # : \_\_\_\_\_

Name of Company : \_\_\_\_\_

Address of Company : \_\_\_\_\_

Contact Person & Designation : \_\_\_\_\_

Tel.# : \_\_\_\_\_

Fax # : \_\_\_\_\_

E-mail : \_\_\_\_\_

➤

TO REGISTER,  
PLEASE CALL 03-7958 8001 / 03-7958 8002 OR  
FAX REGISTRATION FORM TO: 03-7954 8001 OR  
E-MAIL TO: [bursatra5@bursatra.com.my](mailto:bursatra5@bursatra.com.my)

*Postponement / Cancellation within seven (07) working days prior to the event are subject to a cancellation / postponement fee of 20%. Full amount of the registration fee(s) is chargeable for non-attendance of the participant(s).*

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